

SELF-CERTIFICATION DECLARATION FORM FOR AN ENTITY



1. IN RELATION TO OPENING THIS ACCOUNT, IS THE ENTITY:

- a financial institution (including a professionally managed trust) (if so, please provide your GIIN¹)
- a registered UK pension fund
- a registered UK charity² with trustees (or directors in the case of a charitable company) who are tax resident only in the UK and/or the US³

If you answered yes to any of the above you do not need to fill out the rest of this form.

- Actively trading non-financial institution (go to Q2)
- Non-trading investment body⁴ (go to Q2)
- Other (please specify below and then go to Q2)

2. IS THE ENTITY A RESIDENT FOR TAX PURPOSE ONLY IN THE UK⁵?

Yes (go to Q5) No (go to Q3)

3. IF YOU ANSWERED NO TO QUESTION 2

Please state if the entity is resident for tax purpose in the US?

Yes (go to Q5) No (go to Q4)

4. IF YOU ANSWERED NO TO QUESTION 3

Please list the country or countries in which the entity is resident for tax purposes, together with any tax reference number(s)/tax identification number(s) ("TIN"), if relevant:

Country / countries of tax residency	Tax Identification Number

5. IF THE ENTITY IS A NON-TRADING INVESTMENT BODY

Such as a non-professionally managed trust or an investment company, are all of the controlling person⁶ of the entity resident for tax purpose only in the UK?

Yes (stop) No (go to Q6)

6. IF YOU ANSWERED NO TO QUESTION 5

For each controlling person identified as part of AML/KYC, please list the controlling person's details and the country or countries in which they are tax resident, together with any tax reference number(s) / tax identification(s) that may be relevant.

CONTROLLING PERSON DETAILS ONE

Title*
Mr/Mrs/Ms/ Miss/Other Surname*

Forenames* (in full)

Date of Birth*

Permanent Residential Address*

Postcode*

What makes this individual a controlling person?

- Settlor Trustee Protector
- Beneficiary⁷ or class of beneficiaries Shareholder⁸

Country/Countries of Tax Residency

Signature of controlling person.

CONTROLLING PERSON DETAILS TWO

Title*
*Mr/Mrs/Ms/
Miss/Other* Surname*

Forenames* (*in full*)

Date of Birth*

Permanent Residential Address*

Postcode*

What makes this individual a controlling person?

- Settlor Trustee Protector
- Beneficiary⁷ or class of beneficiaries Shareholder⁸

Country/Countries of Tax Residency

Signature of controlling person.

INFORMATION

The UK government has, and will be signing, a number of inter-governmental agreements to share tax information, where applicable, with the tax authorities in other jurisdictions. The requirement to collect certain information about each customer's tax arrangement is part of UK legislation and as a financial services company we are legally obliged to collect it. We are asking for your tax residency and tax ID number (where applicable) and will record this on our records now, but will only disclose this information to the relevant tax authorities if and when we are required to under UK law.

If you have any questions on how to complete this form we recommend that you speak to your tax legal adviser.

DECLARATION

I/ We undertake to inform Saffron Building Society of any changes in circumstances that may affect this declaration

Name of Entity

Full Name

Position

Date

Signature

NOTATION

¹ If you do not have a GIIN yet, please notify us when you have been issued one. GIINs are required from 1 January 2015

² If your charity is not registered in the UK, or the trustees are tax resident in a country other than the UK, the remainder of this form must be completed.

³ We expect that all UK registered charities will be exempt regardless of the tax residency of their trustees. We await official HMRC confirmation.

⁴ Includes a non-professionally managed trust

⁵ Tax residency rules for entities vary from country to country, and can be quite complicated. For instance, companies will often be tax resident in any other countries in which they conduct business, while trust may need to consider where their trustees are resident. If there is doubt about where an entity is tax resident, professional advice may be required.

⁶ Controlling persons-for a trust this includes settlor, trustee, protector (if any) beneficiary or class of beneficiaries. For any other entity, it includes a shareholder and other natural person exercising ultimate control over the investment.

⁷ A beneficiary's details only need to be included if s/he owns or is entitled to at least 25% of the trust property.

⁸ A shareholder's details only needs to be included if they own or control more than 25% of the shares or voting rights-or otherwise exercise control of the management e.g. the shareholder owns less than 25% but the percentage of ownership is significantly higher than any other shareholder.